



**REPUBLIC OF MOZAMBIQUE**  
**MINISTRY OF AGRICULTURE AND RURAL DEVELOPMENT**  
**NATIONAL FUND FOR SUSTAINABLE DEVELOPMENT**

# **Sustainable Rural Economy Program** **(P174002)**

**Draft**

**ENVIRONMENTAL and SOCIAL**  
**COMMITMENT PLAN (ESCP)**

**February, 2021**

## ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The Republic of Mozambique (hereinafter, the Recipient) is planning to implement the Sustainable Rural Economy Program (SREP) for which it has requested support from the World Bank. The Recipient will implement the project through: (i) the Ministry of Agriculture and Rural Development (MADER); and (ii) the Ministry of the Sea, Inland Waters and Fishers (MIMAIP); (hereinafter, collectively referred to as “the Ministries”). The Project will also be implemented with the involvement of the Foundation for the Conservation of Biodiversity (hereinafter, BIOFUND). The International Development Association (hereinafter, the Association) has agreed to provide financing for the Project.
2. The Recipient will implement material measures and actions so that the project is implemented in accordance with the Environmental and Social Framework (**ESF**) of the World Bank. This Environmental and Social Commitment Plan (**ESCP**) sets out material measures and actions, any specific documents or plans, as well as the timing for completing them.
3. The Recipient shall also comply with the provisions of any other Environmental and Social (E&S) documents required under the Environmental and Social Framework (ESF) and referred to in this ESCP, such as Stakeholder Engagement Plan (SEP), Pest Management Plan (PMP), Resettlement Policy Framework (RPF), Process Framework (PF), Environmental and Social Management Framework (ESMF) which among others includes a GBV Action Plan, Labor Management Procedures (LMP), and any other necessary instruments that may be prepared during Project implementation, and the timelines specified in those E&S documents.
4. The Recipient is responsible for compliance with all requirements of the ESCP, even when the implementation of specific measures and actions are conducted by the Ministries and BIOFUND.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Association by the Recipient, as required under the ESCP and the conditions of the grant agreement, and the Association will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed between the Association and Recipient, this ESCP may be revised from time to time during project implementation, to reflect adaptive management of project implementation changes and unforeseen circumstances or in response to assessment of project implementation performance conducted under the ESCP itself. In such circumstances, the Ministries and BIOFUND will agree to the changes with the Association and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Association and Recipient. The Recipient, through the Ministries and with involvement of BIOFUND, will promptly disclose the updated ESCP.
7. Where changes due to unforeseen circumstances, or project performance result in changes to the risks and impacts during implementation, the Recipient shall provide additional funds, if needed, to implement actions and measures to address such risks and impacts, which may include environmental, health and safety impacts, natural resource degradation, environmental pollution, reduction of vegetation, interruption of free movement and socioeconomic activities, social conflicts due to labor influx/in-migration or lack of respect for local habits and customs, community health and safety, occupational health and safety, loss of land and loss of goods and sources of income, gender-based violence (GBV), sexual exploitation and abuse/sexual harassment (SEA/SH), and child labor and forced labor.

**Table 1. Material Measures and actions**

<b>Material Measures and Actions</b>		<b>Timeframe</b>	<b>Responsible institution</b>
<b>MONITORING AND REPORT</b>			
A	<p><b>REGULAR REPORTS</b></p> <p>Prepare and submit to the Association regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the project, including but not limited to the implementation of the ESCP, status of preparation of E&amp;S documents required under the ESCP and Implementation of activities under the Stakeholder Engagement Plan including project associated grievance mechanism(s).</p>	<p><i>Quarterly throughout Project implementation.</i></p>	MADER and MIMAIP
B	<p><b>INCIDENTS AND ACCIDENTS NOTIFICATION:</b></p> <p>Promptly notify the Association of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. Provide enough detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it. Subsequently, as per the Association’s request, prepare a report on the incident or accident and propose any measures to prevent its recurrence.</p>	<p><i>Immediately, and in any case within 48 hours after learning of the incident or accident.</i></p>	MADER, MIMAIP and BIOFUND FNDS; ProAzul (within MADER, MIMAIP, respectively) and Biofund shall provide an incident and accident report within a period acceptable to the Association.
C	<p><b>CONTRACTORS MONTHLY REPORTS</b></p> <p>Contractors are required to provide monthly monitoring reports on the environmental, social, health and safety (ESHS) performance of subprojects to the Project Implementing Unit. If needed, such monthly reports would be submitted to the Association by the Recipient upon request.</p>	<p><i>Monthly reporting throughout Project implementation</i></p>	Contractors
<b>ESS1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS</b>			

1.1	<p><b>ORGANIZATIONAL STRUCTURE:</b></p> <p>As part of the overall project, the Recipient will create, and then maintain, an organizational structure with qualified staff and resources to support management of environmental and social risks and impacts including identification, assessment, monitoring and supervision. Specifically, the project will recruit additional dedicated specialist: two Senior Environmental and Social Specialists, one for FNDS within MADER and one for ProAzul within MIMAIP; and one suitably qualified SEA/GBV Specialist for FNDS within MADER. In addition, at provincial level the project will contract six new Environmental and Social Specialists in provinces for FNDS within MADER; three new Environmental and Social Specialists under the MIMAIP within MIMAIP; and one community development specialist for Conservation Area (CA) at Magoe National Park for BIOFUND.</p>	<p><i>Before Commencement of Project Activities and within 30 days after project effectiveness.</i></p>	<p>MADER, MIMAIP and BIOFUND</p>
1.2	<p><b>ENVIRONMENTAL AND SOCIAL ASSESSMENT AND MANAGEMENT TOOLS</b></p> <p>The Project will undertake the preparation of a series of tools and instruments for mitigation of Environmental and Social risks and impacts, in a manner acceptable to the Association. The following ESF instruments will be prepared, consulted upon and disclosed by the Recipient :</p> <ul style="list-style-type: none"> <li>- Environmental and Social Management Framework (ESMF) including: Labour Management Procedures (LMP), Pest Management Plan (PMP); GBV (SEA/SH) Action Plan</li> <li>- Stakeholder Engagement Plan (SEP)</li> <li>- Resettlement Policy Framework (RPF)</li> <li>- Process Framework (PF)</li> </ul> <p>Prepare and submit to the Association the ESIA's, ESMPs, RAPs required for each subproject in accordance with the ESMF.</p>	<p><i>ESMF, RPF, and PF to be prepared and disclosed by project appraisal</i></p> <p><i>SEP to be prepared and disclosed by project appraisal</i></p> <p><i>Throughout Project implementation: Prior to bidding for the respective works for each subproject</i></p>	<p>MADER, MIMAIP and BIOFUND</p> <p>MADER, MIMAIP and BIOFUND</p>
1.3	<p><b>MANAGEMENT TOOLS AND INSTRUMENTS</b></p> <p>Prepare and submit for the Association non-objections the Terms of Reference for all technical assistance activities, consistent with and incorporating applicable ESF requirements, including the following instruments:</p> <ol style="list-style-type: none"> <li>1. Environmental and Social Commitment Plan (ESCP)</li> </ol>	<p><i>Prior to appraisal and before commencement of any relevant project activities</i></p> <p><i>1. As early as possible and prior to appraisal</i></p>	<p>MADER, MIMAIP and BIOFUND</p>

	<p>2. Develop, adopt and disclose a Stakeholder Engagement Plan (SEP) satisfactory to the Association</p> <p>3. Consult on and disclose an Environmental and Social Framework (ESMF) which establishes procedures for screening E&amp;S risks and impacts of sub-projects prior to implementation of sub-projects</p> <p>4. COVID-19 Protocol</p> <p>5. Waste Management Plan (WMP) as part of the ESMF</p> <p>6. Resettlement Policy Framework (RPF) and Resettlement Action Plans (RAPs)/ Abbreviated Resettlement Action Plans ARAPs (if needed).</p> <p>7. Labour Management Procedures (LMs)</p> <p>8. Gender-Based Violence (GBV) Action Plan</p> <p>9. Specific ESIA/ESMPs, including management and monitoring of mitigation measures in line with the screening and assessment guidelines in the ESMF.</p> <p>10. Construction ESMPs (CESMPs) and Environmental Codes of Practices (ECOPs) satisfactory to the Association.</p> <p>11. Pest Management Plan as part of ESMF</p>	<p><i>2. Already prepared. To be updated throughout project implementation</i></p> <p><i>3. Prior to appraisal</i></p> <p><i>4. Prior to commencement project activities</i></p> <p><i>5. As early as possible and prior to appraisal</i></p> <p><i>6. RAPs/ARAPs to be prepared and implemented before start of sub-project where such instruments are required.</i></p> <p><i>7. As early as possible and prior to appraisal and implemented before commencement of any relevant project activities</i></p> <p><i>8. As early as possible and prior to appraisal</i></p> <p><i>9. Before commencement of any relevant project activities</i></p> <p><i>10. Before commencement of any relevant project activities</i></p> <p><i>11. By appraisal</i></p>	
1.4	<p><b>MANAGEMENT OF CONTRACTORS:</b></p> <p>Develop and implement procedures for managing contractors and subcontractors.</p> <ul style="list-style-type: none"> <li>- Contractor's capacity to comply with ESHS requirements will be assessed and verified.</li> <li>- Environmental and social requirements (including ESMPs and any other E&amp;S plans, Environmental Codes of Practices (ECOPs), etc. which will be included in the procurement and contracting process including bidding documents, for potential</li> </ul>	<p><i>Prior to the preparation of procurement documents.</i></p> <p><i>Maintain procedures throughout Project implementation</i></p>	MADER, MIMAIP and BIOFUND

	<p>civil works.</p> <ul style="list-style-type: none"> <li>- Supervision arrangements including reporting and effective noncompliance remedies will be reflected in contractual requirements and enforced</li> <li>- Procedures to provide for proper interface between the Project GRM and Contractor GRM</li> </ul>		
1.5	<p><b>PERMITS, CONSENTS AND AUTHORIZATIONS:</b></p> <p>Obtain or assist in obtaining and verifying that contractor has obtained, as appropriate, the permits, consents and authorizations that are applicable to the Project from relevant national authorities such as:</p> <ul style="list-style-type: none"> <li>- Environmental licenses</li> <li>- Water use and effluent discharging licenses</li> <li>- Land use rights (DUATs)</li> <li>- Construction licenses</li> <li>- Bush clearing licenses, etc.</li> <li>- Any other licenses as and when required</li> </ul> <p>Comply or cause to comply, as appropriate, with the conditions established in these permits, consents and authorizations throughout Project implementation.</p>	<p><i>Prior to initiating activities that require permits, consents and authorizations. Throughout Project implementation.</i></p>	<p>MADER, MIMAIP and BIOFUND</p>
1.6	<p><b>THIRD PARTY MONITORING:</b></p> <p>A service provider will be engaged to provide independent review of project implementation and verification of project results, including adherence to all aspects of the Project Operations Manual and the ESCP, SEP and all ESHS instruments.</p>	<p><i>Mid-term review of the project</i></p>	<p>MADER, MIMAIP and BIOFUND</p>
1.7	<p><b>CONTINGENT EMERGENCY RESPONSE</b></p> <p>In the event of an emergency which leads to activation of the Contingent Emergency Response Component (CERC) of the Project, the necessary instruments and measures will be developed as required prior to undertaking of the emergency response activities, to ensure compliance with the ESSs.</p>	<p>Prior to the start of emergency response activities. The request to activate this part shall come from the implementer with prior No Objection from the Association.</p>	<p>MADER, MIMAIP and BIOFUND</p>
<p><b>ESS2: WORKING AND LABOR CONDITIONS</b></p>			

2.1	<p><b>LABOR MANAGEMENT PROCEDURES:</b> Develop, consult upon, adopt, disclose and implement Labour Management Procedures (LMPs) for all Project workers, namely direct project workers, contracted workers and community workers, aligned with National Labour Legislation and the specifications set forth in ESS2.</p> <p>The LMP will address all issues identified in ESS2, including the applicable requirements regarding non-discrimination and equal opportunity. The LMP will also include a grievance redress mechanism (GRM) covering all Project workers throughout project implementation.</p>	<p><i>As part of the ESMF. Maintained throughout Project implementation.</i></p>	<p>MADER, MIMAIP and BIOFUND</p>
2.2	<p><b>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURES:</b> Develop and implement occupational, health and safety (OHS) measures, in line with National legislation and ESS2, as part of the ESMF and LMP and Emergency Response Plan (ERP).</p> <p>The ESMF to be prepared for the project will include OHS generic measures, including a COVID -19 protocol to ensure that all project activities minimize the spread of infections.</p>	<p><i>Prior to appraisal and Maintained throughout Project implementation</i></p>	<p>MADER, MIMAIP and BIOFUND</p>
<b>ESS3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT</b>			
3.1	<p><b>RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT:</b> ESMF to be prepared will include a Pest Management Plan (PMP) in compliance with ESS3 requirements. Resource efficiency, pollution prevention and management measures will be covered under the ESMF.</p>	<p><i>Before commencement of project activities during development of ESMF. Maintained throughout Project implementation.</i></p>	<p>MADER, MIMAIP and BIOFUND</p>
<b>ESS4: COMMUNITY HEALTH AND SAFETY</b>			
4.1	<p><b>TRAFFIC AND ROAD SAFETY:</b> Measures and actions to address traffic and road safety risks will be included in the ESMF and in the specific ESMP to be prepared subsequently, , following subprojects' screening results.</p>	<p><i>Prior to project activities. Maintained throughout Project implementation</i></p>	<p>MADER, MIMAIP and BIOFUND</p>

	Procedures to address traffic and road safety risks that include emergence communication in case of accident and incidents will be prepared and applied to all services provides involved in project implementation		
4.2	<p><b>COMMUNITY HEALTH AND SAFETY:</b> A Code of Conduct on SEA/GBV will be prepared and applied to all workers involved in project implementation.</p> <p>The ESMF to be prepared for the project will include OHS generic measures, including a COVID -19 protocol will to ensure that all project activities minimize the spread of infections.</p> <p>The Recipient shall ensure that the labor and community related clauses of the ESMP and SEA/SH Action Plan are included in the bidding documents, Requests for Proposals (RFPs) and contracts for contractors, sub-contractors and service providers, who are required to prepare Contractor’s ESMPs (C-ESMP), shall include a Community Health and Safety Plan (CHSP).</p>	<i>Prior to project activities. Maintained throughout Project implementation</i>	MADER, MIMAIP and BIOFUND
4.3	<p><b>GBV AND SEA RISKS:</b> A Sexual Health and Abuse/Gender Based Violence (SEA/GBV) Action Plan will be produced. It will be implemented across all activities.</p> <p>An suitably qualified SEA/GBV Specialist will be recruited</p>	<p><i>Prior to project activities. Maintained throughout Project implementation.</i></p> <p><i>Before Commencement of Project Activities and within 30 days after project effectiveness.</i></p>	<p>MADER, MIMAIP and BIOFUND</p> <p>MADER</p>
4.4	<p><b>EMERGENCY RESPONSE MEASURES:</b> Measures will be defined in the ESMF through ERP and disseminated as part of the SEP. The Measures will be updated and detailed with site specificity in subsequent ESMPs.</p>	<i>Prior to project activities. Maintained throughout Project implementation.</i>	MADER, MIMAIP and BIOFUND



	As regards COVID-19, the Beneficiary shall develop contingency measures, adapted to local contexts, to prevent community spread of the coronavirus as a result of the movement of workers. These preparedness measures should be communicated not only to the workforce but also to the local community, to reassure them that staff movements are well controlled and to ensure that stigma or discrimination is reduced in the event of an outbreak		
4.5	<p><b>TRAINING FOR THE COMMUNITY:</b></p> <p>The Project will conduct trainings for the community designed to raise awareness of environmental and social risks and impacts and mitigation measures. This will include but not be limited to the following:</p> <ul style="list-style-type: none"> <li>- Covid-19 awareness and prevention</li> <li>- GBV/SEA awareness and prevention</li> <li>- Community grievance redress mechanism as described in the SEP/ ESMF</li> <li>- Road Safety Awareness</li> <li>- Influx management measures</li> <li>- Emergency procedures</li> <li>- Communicable diseases/HIV-AIDS/STI/awareness and prevention</li> </ul>	<i>Prior to project activities, with regular refresher training. Maintained throughout Project implementation.</i>	MADER, MIMAIP and BIOFUND
<b>ESS5: LAND ACQUISITION, RESTRICTION ON LAND USE AND INVOLUNTARY RESETTLEMENT</b>			
5.1	<p><b>LAND ACQUISITION AND RESETTLEMENT:</b></p> <p>A Resettlement Policy Framework (RPF) will be developed to provide guidance on any land acquisition requirements under the project.</p> <p>Resettlement Action Plan (RAPs) will be prepared and implemented prior to any civil works.</p> <p>A third party entity will be hired to conduct RAP completion audits and present reports.</p>	<p><i>RPF finalized, consulted upon and disclosed before Project approval</i></p> <p><i>Prior to civil works</i></p> <p><i>After RAP implementation</i></p>	MADER, MIMAIP and BIOFUND
<b>ESS6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES</b>			
6.1	<p><b>BIODIVERSITY RISKS AND IMPACTS:</b></p> <p>The ESMF will specifically include criteria and procedures to ensure that sub-project investments are designed and implemented in ways that avoid damage to protected areas or critical habitats.</p> <p>Biodiversity Management Plans shall be prepared as part of the site specific ESMFs, and</p>	<i>After World Bank Board Approval. Prior to commencing project activities</i>	MADER, MIMAIP and BIOFUND

	their implementation documented in monthly reports from Contractors that the <i>Recipient</i> shall compile and make available to the <i>Association</i> upon request.		
<b>ESS7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES</b>			
This standard is not relevant currently as there are no distinct social and cultural groups have been identified in accordance with ESS7 and in the national context in the project area.			
<b>ESS 8: CULTURAL HERITAGE</b>			
8.1	<p><b>CHANCE FINDS:</b> Avoid all known heritage sites within the footprint of the Project. Implement the chance-finds procedures included in the ESMF and the ESMP. These provisions should be included in the bid documents and all construction and rehabilitation contracts, even in cases where such finds are highly unlikely. Include the chance finds procedure described in the Project ESMF.</p>	<i>Prior to commencing project activities and maintained throughout Project implementation.</i>	MADER, MIMAIP and BIOFUND
<b>ESS9: FINANCIAL INTERMEDIARIES</b>			
There are no actions under the Project related to Financial Intermediaries, therefore there are no mitigation measures to be undertaken under ESS9.			
<b>ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE</b>			
10.1	<p><b>SEP IMPLEMENTATION AND GRIEVANCE REDRESS MECHANISM:</b> Prepare, consult with affected and interested parties, adopt and disclose Stakeholder Engagement Plan (SEP). The SEP will guide stakeholder engagement during preparation of the environment and social risk management instruments and other studies and will be regularly updated to reflect new stakeholders identified and appropriate ways to consult them. SEP will also guide stakeholder engagement throughout project implementation.</p>	<i>SEP will be prepared and disclosed before project appraisal and it will be implemented on an ongoing basis throughout the project</i>	MADER, MIMAIP and BIOFUND
10.2	<p><b>GRIEVANCE MECHANISM ARRANGEMENTS:</b>  The SEP will include the design of a Grievance Redress Mechanism.</p>	<i>By project appraisal</i>	MADER, MIMAIP and BIOFUND

	<p>The Grievance Redress Mechanism will provide project-affected parties with accessible and inclusive means to raise issues and grievances in accordance with ESS10, and in a manner acceptable to the Association.</p>	<p><i>GRM will be operational within the first 1 month of project effectiveness.</i></p>	
	<p><b>Capacity Building</b>  All capacity building activities and trainings will be further detailed in the ESMF, trainings may be required in:</p> <ol style="list-style-type: none"> <li>1. Training in ESF (Environmental and Social Standards)</li> <li>2. Stakeholder engagement</li> <li>3. Environmental and Social screening</li> <li>4. Occupational and Community Health and Safety</li> <li>5. Emergency preparedness and response</li> <li>6. Gender-Based Violence Risk Mitigation</li> <li>7. GRM</li> <li>8. Monitoring and reporting</li> <li>9. Labour management procedures</li> <li>10. Covid-19 mitigation</li> <li>11. RAP preparation and implementation</li> <li>12. Occupational health and safety</li> <li>13. Response arrangements to emergency situations</li> <li>14. PMP – acquisition, use, conservation and final disposal of pesticides</li> <li>15. Environmental education in conservation areas and buffer zones</li> </ol>	<p><i>Before commencement of relevant activities and throughout Project implementation.</i></p>	<p>MADER, MIMAIP and BIOFUND</p>

